

# State Performance Standards Implementation, Reporting, and Subject Matter Expertise: 2021–2024

Client: Division of Nursing Homes, Centers for Medicare & Medicaid Services

## Overview

The Centers for Medicare & Medicaid Services' (CMS) Quality Safety and Oversight Group (QSOG) provides oversight and ensures compliance for providers serving Medicare and Medicaid beneficiaries. State survey agencies support QSOG by conducting facility surveys to verify compliance with *Conditions of Participation or Requirements for Participation*, and they complete the certification process and investigate complaints from beneficiaries or their families.

For what is referred to as the “survey and certification” process, state survey agencies are required to provide data and reports to CMS via the 10 CMS Locations. To assess how well the agencies perform their activities, CMS established a comprehensive set of standards called the State Performance Standards System (SPSS).

This project assists CMS in efforts to evaluate and redesign the SPSS to improve the

oversight of provider certification processes and enforcement protocols of long-term care facilities (e.g., nursing homes). In turn, CMS hopes to improve provider compliance and health outcomes. Insight is identifying obstacles for the agencies in conducting facility surveys and implementing certification activities, evaluating the SPSS, developing and implementing recommendations, and identifying areas for future improvement so fewer deficiencies go uncited. Overall, the work has the following objectives:

- Produce regular reports for the SPSS to facilitate CMS Central Office and CMS Locations' surveillance of state performance to improve oversight of states in real time.
- Lead stakeholder conversations to solicit feedback.
- Recruit and maintain a panel of subject matter experts consisting of physicians, administrators, nurses, healthcare surveyors, research analysts, and others with expertise in areas related to nursing home care and residents.
- Make recommendations on how to improve the SPSS program to better assess state performance.
- Pilot test changes to the system based on recommendations.
- Implement and support a new SPSS systems rollout through revised SPSS guidance.

## Products

Weekly, monthly, and annual reports

